# **Data Protection Authorization Form for Employee Stock Purchase Plan**

This Data Protection Authorization Form is provided to you in connection with your eligibility to enroll in the Cummins Employee Stock Purchase Plan (ESPP). Please read it carefully before you provide any personal data to the ESPP for purposes of enrollment.

#### **Collection of Personal Data from Enrollees**

Employees who are offered enrollment in the ESPP are required to complete the requested information in OneSource self-service or to complete the Cummins Employee Stock Purchase Plan Withholding and Investment Authorization Form, where applicable. In connection with your enrollment, you are asked to provide the following categories of personal data: your name, mailing address, date of birth, Social Security or National Identification Number, WWID, telephone numbers, and information relating to percentage of your salary that you choose to invest in the Plan.

## **Sharing of Personal Data**

The information that you provide with the self-service tool or on the Cummins employee Stock Purchase Plan Withholding and Investment Authorization Form will be shared with the Cummins' plan administrator, Morgan Stanley, as well as any third party business partners retained by Morgan Stanley for purposes of carrying out its Plan administration activities. Cummins reserves the right to change its Plan administrator at a later time, should it decide that doing so is in the company's best interests. If so, you will receive notification from Cummins about the change in administrators.

The personal data that you provide to enroll in the ESPP will not be used for any purpose unrelated to the ESPP without providing notice to you and obtaining your consent – either written or electronic.

#### **International Data Transfers**

Because Morgan Stanley is located in the United States, your personal data is not subject to the same laws and regulations that apply to personal data in your home country. Cummins has nevertheless taken steps to ensure that your data is appropriately safeguarded by Morgan Stanley as well as any third parties with whom Morgan Stanley may share your data. In particular, Cummins requires that Morgan Stanley agree to adhere to substantially similar protections for your data as those applicable to Cummins, and to bind any third party given access to the data to the same standards. In addition, as a financial organization, Morgan Stanley already has to comply with higher privacy standards than those that apply to many other types of organizations in the U.S. Those obligations include issuing an annual privacy notice to you about how your data is protected.

#### **Data Retention**

Your personal data is retained for purposes of your enrollment in the ESPP for the length of time required for legal and business purposes. Some of your data may be archived after you conclude your participation in the Plan, for purposes of financial recordkeeping requirements in the U.S. or your home country.

## **Individual Rights**

In accordance with applicable laws, you have the right to request access to your personal data held about you relating to your Plan participation, as well as the right to request amendments to your data to rectify inaccuracies, and if it was collected improperly, the right to request erasure. To exercise your rights, please contact your local human

resources manager or Morgan Stanley directly, at the numbers provided on your enrollment materials or monthly statements.

## **Questions / Additional Information**

For additional information, you may contact the "Data Controller" for Cummins Employee Stock Purchase Plan at CBS HR Services.

### **Acceptance of Terms**

I confirm my undertaking to be bound by the obligations in this Agreement. I understand that if I choose not be agree with these obligations, I can refuse to sign this form. However, I will no longer be allowed to participate in the Cummins Employee Stock Purchase Plan. I also understand that I can withdraw my consent at any time by contacting Cummins at CBS HR Services. Any personal data already collected will not be further processed, except for any necessary processing in connection with ending your participation in the plan and retaining necessary records for business and legal reasons.